UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Rey 1459

P O Box 1450 Alexandria, Virgima 22313-1450 www.uspto.gov

DATE MAILED: 11/18/2009

NOTICE OF ALLOWANCE AND FEE(S) DUE

26530 7590 11/18/2009

LADAS & PARRY LLP 224 SOUTH MICHIGAN AVENUE SUITE 1600 CHICAGO, IL 60604

| EXAMINER | | | | | | |
|-----------------|--------------|--|--|--|--|--|
| KOSSON, ROSANNE | | | | | | |
| ART UNIT | PAPER NUMBER | | | | | |
| 1652 | • | | | | | |

 APPELICATION NO.
 FILINO DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.
 CONTRIBUTION NO.

 10/594,174
 09/26/2006
 Hiddeshi Hattori
 CU-5102 RJS
 7751

TITLE OF INVENTION: METHOD FOR PRODUCING CELL CULTURE SUBSTRATE AND APPARATUS FOR PRODUCING CELL CULTURE SUBSTRATE

DODDINGTE

| APPLN, TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV, PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|----------------------|----------------------|------------------|------------|
| AFTLO, 111L | SMALLERINI | ESCEPTED DOE | F CBERCATION THE DCE | TREVETAD ISSUETEE | TOTAL PELON DOL | DAILDOL |
| nonprovisional | NO | \$1510 | \$300 | \$0 | \$1810 | 02/18/2010 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

| INSTRUCTIONS: This f appropriate. All further coindicated unless corrected maintenance fee notification | form should be used for orrespondence including to below or directed oth ons. | or tran | smitting the ISSU Patent, advance or in Block 1, by (a | | | | | nould be completed where correspondence address as rate "FEE ADDRESS" for |
|--|--|---|---|--|---|--|---|--|
| CURRENT CORRESPONDED | NCE ADDRESS (Note: Use Blo | ock 1 for | any change of address) | pap | rs. Each additiona | I paper | , such as an assignme | r domestic mailings of the or any other accompanying nt or formal drawing, must |
| 26530 | 7590 11/18 | /2009 | | hav | | | ling or transmission. | |
| SUITE 1600 | THIGAN AVENUE | 3 | | I he Stat add tran | Cer reby certify that th es Postal Service v essed to the Mail smitted to the USP | tificate is Fee(: /ith suf Stop TO (57 | of Mailing or Trans s) Transmittal is being ficient postage for firs ISSUE FEE address I) 273-2885, on the d | nission deposited with the United t class mail in an envelope above, or being facsimile ate indicated below. |
| CHICAGO, IL 60 | 0604 | | | | | | | (Depositor's name) |
| | | | | | | | | (Signature) |
| | | | | | | | | (Date) |
| APPLICATION NO. | FILING DATE | | | FIRST NAMED INVENTOR | | ATTORNEY DOCKET NO. C | | CONFIRMATION NO. |
| 10/594,174 | 09/26/2006 | | | Hideshi Hattori | | | CU-5102 RJS | 7751 |
| TITLE OF INVENTION: SUBSTRATE | METHOD FOR PRO | DUCI | NG CELL CULT | URE SUBSTRATE ANI | APPARATUS F | OR PR | ODUCING CELL C | ULTURE |
| APPLN, TYPE | SMALL ENTITY | IS: | SUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSU | SFEE | TOTAL FEE(S) DUE | DATE DUE |
| nonprovisional | NO | | \$1510 | \$300 | \$0 | | \$1810 | 02/18/2010 |
| EXAMI | NER | | ART UNIT | CLASS-SUBCLASS | | | | |
| KOSSON, R | OSANNE | | 1652 | 435-177000 | | | | |
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.503). ☐ Change of correspondence address (or Change of Correspondence Address from PTO/SB/122) attached. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/127, See U-0.32 or more creat) attached. Use of a Customer Number is required. Number is required. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON 3 | | | 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agent). If no name is listed, no name will be prunted, | | | | | |
| (A) NAME OF ASSIG | NEE | | | (B) RESIDENCE: (CITY | and STATE OR C | OUNT | 'RY) | excument has been filed for superity Government |
| | | | | p. Payment of Fee(s): (Plee A check is enclosed. Payment by credit car The Director is hereby overpayment, to Depo | se first reapply and | y prev | lously paid issue fee | shown above) |
| Change in Entity Statu a. Applicant claims | SMALL ENTITY statu | ıs. See | 37 CFR 1.27. | b. Applicant is no lon | | | | |
| NOTE: The Issue Fee and interest as shown by the re | Publication Fee (if requeered of the United Sta | uired) v tes Pate | vill not be accepted ent and Trademark | d from anyone other than t Office. | he applicant; a regi | stered : | attorney or agent; or th | e assignee or other party in |
| Authorized Signature _ | | | | | Date | | | |
| Typed or printed name | | | | | Registration N | lo | | |
| This collection of informat an application. Confidenti- submitting the completed this form and/or suggestio Box 1450, Alexandria, Vir Alexandria, Virginia 2231. | tion is required by 37 C ality is governed by 35 application form to the ns for reducing this bur rginia 22313-1450. DC 3-1450. | FR 1.3 U.S.C. USPT rden, sh O NOT | 11. The informatic 122 and 37 CFR O. Time will vary sould be sent to the SEND FEES OR | on is required to obtain or in 1.14. This collection is est depending upon the indiversity of the complex of th | etain a benefit by t imated to take 12 idual case. Any co r, U.S. Patent and D'THIS ADDRESS | he publ minutes mment Traden i. SENI | tic which is to file (and to complete, including s on the amount of tin nark Office, U.S. Depo D TO: Commissioner | by the USPTO to process) g gathering, preparing, and ne you require to complete utment of Commerce, P.O. for Patents, P.O. Box 1450, |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P O Box 1450 Alexandra, Virgima 22313-1450 www.uspto.gov

DATE MAILED: 11/18/2009

| FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | | |
|-------------------|--|---|--|--|--|
| 09/26/2006 | Hideshi Hattori | CU-5102 RJS | 7751 | | |
| 0 11/18/2009 | | EXAMINER | | | |
| LADAS & PARRY LLP | | | KOSSON, ROSANNE | | |
| IGAN AVENUE | ART UNIT | PAPER NUMBER | | | |
| 2.4 | | 1652 | | | |
| | 09/26/2006 0 11/18/2009 Y LLP IGAN AVENUE | 09725/2006 Hideshi Hattori 0 11/18/2009 Y LLP | 99/26/2006 Hideshi Hattori CU-5102 RIS 0 11/18/2009 EXAM Y LLP KOSSON.1 IGAN AVENUE ARTUST | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 236 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 236 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability

| Application No. | Applicant(s) | | | | | |
|-----------------|----------------|---|--|--|--|--|
| 10/594,174 | HATTORI ET AL. | | | | | |
| Examiner | Art Unit | 1 | | | | |
| Rosanne Kosson | 1652 | | | | | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- 1. This communication is responsive to an amendment filed on October 16, 2009.
- 2. The allowed claim(s) is/are 17-22.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - b) ☐ Some* c) ☐ None of the:
 - 1. A Certified copies of the priority documents have been received.
 - 2. Certified copies of the priority documents have been received in Application No.
 - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- 4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) hereto or 2) to Paper No./Mail Date
 - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6.

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2. Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08).
 - Paper No./Mail Date
- 4. T Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date
- 7. X Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- 9. ☐ Other

Art Unit: 1652

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Applicants' representative, Ms. Zareefa Flener, on November 6, 2009.

The application has been amended as follows.

The specification has been amended as follows; the following paragraph is inserted after the title.

This application is the U.S. national phase of International Application No. PCT/JP05/ 05337, filed on March 24, 2005, which claims priority to Japanese Application No. 2004-091653, filed on March 26, 2004.

The claims have been amended as follows.

Claims 1-16 and 19-22 remain as shown in the amendment filed on October 16, 2009.

17. (currently amended) A method for producing a patterned cell culture substrate comprising: forming on a base material, a light shielding element containing a material which can shield ultraviole[[n]]t rays or visible light, and a cell adhesive layer containing a photocatalyst and a material to which cells adhere, wherein the light shielding element is sandwiched between the base material and the cell adhesive layer, and wherein the cell adhesive material and which is decomposed or denatured by an action of [[a]] the photocatalyst upon energy irradiation with ultraviolet rays or visible light wherein the photocatalyst is contained in the cell adhesive layer;

Application/Control Number: 10/594,174

Art Unit: 1652

irradiating energy-to the patterning substrate from the base material side to form a pattern consisting of: a cell adhesion inhibiting portion in which the cell adhesive material is decomposed or denatured, and a cell adhesion portion which is other than the cell adhesion inhibiting portion;

and attaching or adhering a cell to the cell adhesion portion, wherein the cell is in a cell culture.

18. (currently amended) A method for producing a patterned cell culture substrate comprising:

forming on a base material, a light shielding element containing a material which can shield ultraviole[[n]]t rays or visible light, a photocatalyst-containing layer, and a cell adhesive layer containing a material to which cells adhere and which is decomposed or denatured by an action of [[a]] the photocatalyst upon energy irradiation with ultraviolet rays or visible light, wherein the photocatalyst is contained in between the cell adhesive layer and the light shielding element, and wherein the light shielding element is sandwiched between the base material and the photocatalyst-containing layer which contacts the cell adhesive material:

irradiating energy-te the patterning substrate from the base material side to form a pattern consisting of a cell adhesion inhibiting portion in which the cell adhesive material is decomposed or denatured, and a cell adhesion portion which is other than the cell adhesion inhibiting portion; and

attaching or adhering a cell to the cell adhesion portion, wherein the cell is in a cell culture.

The following is an examiner's statement of reasons for allowance. The prior art does not disclose or suggest the claimed method, in which the cell-adhesion layer, covered with a

Application/Control Number: 10/594,174

Art Unit: 1652

patterned mask (light-shielding element) from below, is degraded in the unshielded portions by irradiating the back side of the substrate. In the prior art, the substrate, covered with a photocatalyst-containing photoresist and then with a patterned mask as the light shielding element, is irradiated from above. The unshielded portions of the photoresist are removed, and the surface of the substrate is coated with a cell adhesion layer, which remains bound, after washing, only to the unshielded portions of the substrate. The light-shielded portions of the substrate become areas to which cells do not bind, thereby creating a pattern of cell-binding and cell-non-binding regions. See, e.g., Akaike et al., US 5,470,739, Figs. 6-8 and col. 6, line 67, to col. 8, line 30.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Rosanne Kosson whose telephone number is (571)272-2923. The examiner can normally be reached on Mon.-Wed. 8:30-6:00, Fri. 8:30-2:00, Thurs. off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Wang, can be reached on 571-272-0811. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 1652

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Rosanne Kosson Examiner, Art Unit 1652

rk/2009-11-09

/Karen Cochrane Carlson/ Primary Examiner, Art Unit 1656